Naïve Denotational Semantics

OMAIN THEORY FOR RECURSION

YNTHETIC DOMAINS

NTHETIC STEP-INDEXING

References

## Naïve Denotational Semantics: Synthetic Domains in the 21st Century

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Turing's precocity:

- 1. **compositional reasoning** about programs
- 2. annotating programs with **local assertions** (cf. Floyd & Hoare)
- 3. invariants that cut across all steps of program execution

# **Goal of programming languages field:** to give *precise and reliable meaning* to the "assertions" of Turing's verified addition checker.

## Isn't it obvious what an assertion means? (No)

Think of a program with some assertions.

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set x to 2 \* x
// x is an even integer
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The meaning of these assertions is *not* obvious.

- 1. What does a "variable" like *x* actually refer to?
- 2. Even "2 \* 5" is so far only a *program expression*, so it is not an integer of any kind, much less an *even* integer.

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**Denotational semantics** explains the meaning of a complex program P(Q, R, S, ...) in terms of the meanings of its subroutines Q, R, S, ...; cf. Turing's compositionality criterion. Then, assertions are explained as predicates(\*) on the meanings of the programs they concern.

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We are taught almost from birth how to reason informally with sets. The benefit of naïve set theoretic semantics has nothing to do with "set theory" in the professional sense: it is good because we know how to think *naïvely & reliably* about collections and mappings between them.

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6. ...

Mere sets are too *discrete* to bring order to this complexity! Dana Scott's *domain theory* broke the logjam (Scott, 1970; Scott, 1972; Scott, 1976; Scott, 1982; Scott, 1993).

Replace sets with some kind of **space** ("domain") in which points have a specialization (pre)order supporting suprema of ascending chains.

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- 3. A recursive program  $\Gamma \vdash \mathbf{fix} f : \tau$  refers to the colimit of the chain  $[\bot \leqslant \llbracket f \rrbracket \bot \leqslant \llbracket f \rrbracket^2 \bot \leqslant \ldots].$

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- 4. An assertion  $\Gamma \mid \varphi$  refers to .... ??? (admissible subspaces, open subspaces, closed subspaces) ???

## Downsides of classical domains for PL semantics

- Proliferation of obscure variations: there's a ton of different kinds of domain (ω-dcpo, ω-cpo, Scott domain, strongly algebraic domain, *etc.*), each solving different problems.
- 2. **Abstraction is too low:** constant continuity side-obligations an impediment for everyday users of domain theory.
- 3. No intrinsic notion of assertion: many different possible ways to interpret assertions, but no unifying language.
- 4. **No intrinsic notion of** *dependent type:* thus impossible to reason "naïvely" in the language of domains, leading to an artificial boundary between programming and verification.
- 5. **Difficulty with "awkward" PL features**: higher-order store with parametric polymorphism; concurrency requires new kinds of domain (*e.g.* event structures, presheaf topoi, *etc.*).

## The thesis of synthetic domain theory

Scott recognized the obscurity and complexity of classical domain theory, and initiated the field of *synthetic domain theory* to search for *topoi* that have domain-like spaces as full subcategories.

- 1. A *topos* is a model of extensional Intuitionistic Type Theory in which the propositions (subsingletons) form a univalent universe.
- 2. It is as easy to reason *rigorously and informally* in an arbitrary topos as it is in set theory. **Naïve denotational semantics for recursion.**
- 3. A *full subcategory of domains* means that you never have to check a continuity condition again.
- 4. Every notion of assertion (*e.g.* admissible subspace, open/closed subspace, *etc.*) easily expressed in terms of the **subobject classifier**.
- 5. Automatic support for *dependent types*: programming blends with verification.

## Axioms of synthetic domain theory

Many possible axiom systems, but we will focus on a few core axioms that are sufficient in practice, inspired by Simpson (2004).

Let S be an elementary topos with a natural numbers object; we will work informally in the internal language.

#### Axiom (Dominance)

A subuniverse  $\Sigma \subseteq \Omega$  closed under  $\top$  and dependent sums  $\sum_{x:\varphi} \psi x$ where  $\varphi : \Sigma$  and  $\psi : \varphi \to \Sigma$ .

Using this axiom, the  $\Sigma$ -partial map classifier construction gives a monad  $\mathbb{L} = (L, \eta, \mu)$ .

$$LA :\equiv \sum_{\Phi:\Sigma} A^{\Phi}$$
$$\eta_{A}a :\equiv (\top, \lambda_{a})$$
$$\mu_{A}(\phi, u) :\equiv \left(\sum_{x:\Phi} (ux).\mathbf{I}, \lambda(x, y).(ux).\mathbf{2}y\right)$$

This is a semantic partiality monad! We will later isolate the types in which partial functions can be defined by recursion.

#### Axiom (Empty Join)

The dominance  $\Sigma \subseteq \Omega$  is closed under  $\bot$ .

We can also assume joins of higher arity, but this limits the models. Empty joins parameterize diverging computations  $(\perp, \lambda()) : LA$ ; binary joins would parameterize *parallel* computations. Let  $L\omega \to \omega$  be the *initial algebra* for the lifting monad  $\mathbb{L}$ . For type theorists, this is the inductive type  $W_{\Phi:\Sigma} \phi$ .

Think of  $\omega$  as the "generic  $\omega$ -chain"; we have elements corresponding to natural numbers, but  $\omega$  is somewhow "thicker" than  $\mathbb{N}$ .

Let  $\bar{\omega} \to L\bar{\omega}$  be the *final coalgebra* for L; this is a coinductive type. We have  $\omega \hookrightarrow \bar{\omega}$ , and outside the image lies an infinite element  $\infty : \bar{\omega}$ .

Think of  $\omega \hookrightarrow \bar{\omega}$  as the incidence relation between the generic omega chain and its supremum.

#### Definition

A type *A* is called **complete** when it is *orthogonal* to  $\omega \hookrightarrow \bar{\omega}$ , *i.e.* every figure  $\alpha : \omega \to A$  extends to a unique figure  $\bar{\alpha} : \bar{\omega} \to A$ . We may write  $\bigvee_{i:\omega} \alpha_i$  for  $\bar{\alpha}_{\infty}$ .

#### Axiom (Predomains)

There exists a *reflective full subfibration*  $\mathcal{P} \subseteq S$  whose objects are called *predomains* and are all complete and closed under  $\mathbb{L}$ .

**Note:** by above,  $\mathcal{P}$  is automatically cartesian closed, and both complete & cocomplete in the fibered sense, with limits computed as in S.

#### Definition

A *domain* is defined to be an  $\mathbb{L}$ -algebra whose underlying type is a predomain. A *strict (linear) map* between domains is an  $\mathbb{L}$ -algebra homomorphism.

**Analogy:** predomains ~ unpointed cpos, domains ~ pointed cpos.

#### Axiom (Optional)

The Kleisli category  $\mathcal{P}_{\mathbb{L}}$  is algebraically compact as a fibration over  $\mathcal{S}$ .

#### In other words, we can compute recursive types.

Many more axioms can be imposed, to refine our picture of "domains"; important for *relating* synthetic constructions to ordinary math, but not needed for workaday denotational semantics.

#### Naïve denotational semantics of recursion

# The internal intuitionistic type theory of any topos S satisfying our axioms serves as a *metalanguage* for naïve denotational semantics.

# Naïve call-by-value interpretation of recursion

- 1. A context  $\Gamma$  or a type  $\tau$  refers to a *predomain*  $\llbracket \Gamma \rrbracket$  or  $\llbracket \tau \rrbracket$ .
- A program Γ ⊢ M : τ refers to a Kleisli mapping [[M]] : [[Γ]] → L[[τ]]. (Continuity is automatic!)
  - Recursive functions computed using *completeness* of L[[τ]], taking the "formal supremum" of a parameterized chain [[Γ]] × ω → L[[τ]] defined using structural recursion on ω.
- 3. An assertion  $\Gamma \mid \varphi$  refers to a subset  $\llbracket \varphi \rrbracket \subseteq \llbracket \Gamma \rrbracket$ ; f.p. induction restricted to *complete* subsets.
- 4. An entailment  $\Gamma \mid \phi \vdash \psi$  refers to an inclusion  $\llbracket \phi \rrbracket \subseteq \llbracket \psi \rrbracket \subseteq \llbracket \Gamma \rrbracket$ .

Scales effortlessly to parametric polymorphism, recursive types, first-order store, finite non-determinism, and thus interleaving concurrency. Higher-order store (storing closures) as well as true concurrency not accounted for in this environment.

# Relating synthetic denotational semantics to "real math"

It is well and good to verify programs using the axioms of synthetic domain theory, but is this "sound" with respect to (1) classical domain theoretic semantics or (2) operational notions of equivalence?

Answering these questions means finding *models* of the axioms.

- 1. **Soundness for operational equivalence** ("computational adequacy") follows from a *nearly arbitrary* model of SDT thanks to Simpson (2004) and Marcelo P. Fiore and Plotkin (1994).
- 2. **Soundness for classical denotational semantics** follows because cpos, *etc.* embed nicely into sheaf models of SDT (Marcelo P. Fiore and Plotkin, 1996; Marcelo P. Fiore and Rosolini, 1997).

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- replace cpos and continuous maps with (complete, etc.) metric spaces and nonexpansive maps.
- 2. **idea:** contractive maps (and locally contractive functors) have *unique* fixed points.

See: Arnold and Nivat (1980), MacQueen, Plotkin, and Sethi (1984), and America and Rutten (1987).

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- 2. **worse is better:** the rich categorical structure of domain theory thrown away, because who needs it? (Actually needed for scaling!)
- 3. **exceptionally strong results:** operational step-indexing the catalyst for solving many long-standing problems, *e.g.* semantic soundness of **System F**<sub> $\mu$ , *ref*</sub> as in the *tour de force* thesis of Ahmed (2004).

The end of history?

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**Synthetic guarded domain theory** generalizes the internal language of  $\hat{\omega}$ , lifting the ill-advised (\*) restriction to flabby presheaves.

**Axiomatizations:** Birkedal, Møgelberg, Schwinghammer, and Støvring (2011), Milius and Litak (2017), and Palombi and Sterling (2023).

1. Unlike traditional SDT, no special classes of objects (*e.g.* complete, replete, *etc.*); **the "predomains" form a topos**.

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- Recursion introduced by an endofunctor ►, which corresponds to a single "unfolding" of a recursive domain equation; "domains" are just ►-algebras.
- 3. **New feature:** the universe of *all* small predomains is a domain (*cf.* domains of *information systems* in classical domain theory, which classify only algebraic[...] domains).

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- 3. **A new result:** denotational semantics for full dependent type theory with higher-order store, parametricity, *etc.* (*op. cit.*).

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- 3. **A new result:** denotational semantics for full dependent type theory with higher-order store, parametricity, *etc.* (*op. cit.*).
- 4. Aagaard, Sterling, and Birkedal (2023) adapt Iris-style **higher-order separation logic** to denotational semantics, higher-order ghost state and invariants forthcoming.

**Finally denotational semantics responds to Ahmed (2004),** after which it seemed to many community members that operationally-based semantics was the only viable approach to higher-order store.

- Denotational semantics of interleaving concurrency + higher-order effects are too easy, but easy examples important.
- 2. **True concurrency** could be the "killer app" of denotational clarity in the era of relaxed memory. Let go of *functional bias*, like Paweł said!
- 3. **Education and outreach:** operational methods have dominated in an era in which *sheer humanpower* plays a bigger role than clarity; epic rise of "lab technician culture" in PL.
  - 3.1. Careful attention to training and curriculum **a must**.
  - 3.2. Focus on what is *simple*, *practical*, and *mechanizable*. Engineering and "soft aspects" are **non-optional**.
  - 3.3. Reach, teach, and learn from the **next generation** of scientists.



Thanks!

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